



# **PY 2021 Consolidated Annual Performance and Evaluation Report (CAPER)**

**Collier County**  
Community and Human Services Division  
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Naples, Florida 34112

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## **CR-05 - Goals and Outcomes**

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Collier County is an entitlement jurisdiction receiving annual entitlement grant funds from the US Department of Housing and Urban Development (HUD). In PY 2021, the County was allocated \$2,744,274 in CDBG, \$753,000 in HOME, and \$217,796 in ESG entitlement funds. These funds help the County address the housing and community development objectives outlined by HUD, which include: affirmatively furthering fair housing, affordable housing, homelessness prevention, public facilities and infrastructure improvements, and vital services for low- to moderate-income (LMI) and special needs populations. The County was able to successfully accomplish many goals to address the needs identified in the plan and these are highlighted below:

**Public Improvements:** The County made public facility improvements that benefitted 54,267 persons living in low/mod areas. These include facilities improvements at the Golden Gate Senior Center, Legal Aid facility, Esperanza Community Center, the Immokalee Fire Station and security improvements at the Shelter for Abused Women and Children. Public infrastructure improvements were also made that benefitted 8,210 persons living in low/mod areas. These activities included water/sewer improvements with the Bayshore CRA Fire Suppression project, installation of crosswalks and street lighting in the City of Naples, and sidewalk improvements at Peters Ave.

**Public Services:** In PY 2021 the County funded public services that benefitted a total of 630 LMI individuals. Transportation services offered by Sunrise Free to Be Me assisted persons with a disability. Legal services were provided to victims of domestic violence and other abuses. Housing counseling for LMI households was provided by HELP. CDBG-CV funds went to support the ongoing efforts of case management healthcare services to help vulnerable patients with chronic conditions to minimize the severity of COVID-19 or help to prevent contracting the virus. The County also funded the Immokalee Fire Control District to help with COVID protection actions, and this activity had a low/mod benefit for an estimated 36,775 persons.

**Affordable Housing:** For the HOME affordable housing program the County completed 11 affordable rental rehab units at the Oak Marsh Rental Rehab project, which assisted LMI households. HOME activities are reported in the CAPER when they are completed, and there are several housing activities that are still ongoing. There were also 69 LMI renter households assisted at the Collier County Housing Authority's (CCHA) rental housing HVAC rehab activity through the CDBG program. HVAC improvement at rental units owned by the CCHA helped to increase the energy efficiency of these units. Another 2 LMI renter households were assisted through the acquisition of units for the purpose of adding to the affordable housing stock of the CCHA.

Homeless Prevention: The County addresses homelessness primarily with the help of its partners, Collier County Hunger & Homeless Coalition (HHC), The Shelter for Abused Women & Children, Youth Haven and NAMI. The County funded HHC to operate HMIS data collection in the region. The Shelter, Youth Haven and NAMI assisted 543 persons in PY 2021 with homeless shelter operations, and another 4 homeless persons were assisted with rapid rehousing.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

| Goal  | Category                          | Source        | Indicator   | Unit of Measure        | Expected – Strategic Plan | Actual – Strategic Plan | Percent Complete | Expected – Program Year | Actual – Program Year | Percent Complete |
|---|-----------------------------------|---------------|---|------------------------|---------------------------|-------------------------|------------------|-------------------------|-----------------------|------------------|
| Administration & Planning                       | Administration                    | CDBG HOME ESG | Other   | Other                  | 1                         | 1                       | 0.00%            | 1                       | 1                     | 0.00%            |
| CHDO  | Affordable Housing                | HOME          | Rental units constructed  | Household Housing Unit | 20                        | 0                       | 0.00%            |                         |                       |                  |
| Emergency Housing and Services for the Homeless | Homeless                          | ESG           | Homelessness Prevention   | Persons Assisted       | 600                       | 543                     | 90.5%            | 120                     | 543                   | 452.50%          |
| HMIS Support                                    | Homeless                          | ESG           | Homeless Person Overnight Shelter   | Persons Assisted       | 1                         | 0                       | 0.00%            |                         |                       |                  |
| HMIS Support                                    | Homeless                          | ESG           | Homelessness Prevention   | Persons Assisted       | 0                         | 0                       |                  | 1                       | 0                     | 0.00%            |
| HMIS Support                                    | Homeless                          | ESG           | Other   | Other                  | 0                         | 1                       |                  | 3                       | 1                     | 33.33%           |
| Improve Public and Other Facilities             | Non-Housing Community Development | CDBG          | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | Persons Assisted       | 900                       | 54,267                  | 6029.67%         | 180                     | 54,267                | 30148.33%        |

|  |                                   |           |   |                        |      |      |         |      |      |         |
|--|-----------------------------------|-----------|---|------------------------|------|------|---------|------|------|---------|
| Improve Public Infrastructure                      | Non-Housing Community Development | CDBG      | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | Persons Assisted       | 5625 | 8210 | 145.96% | 1125 | 8210 | 729.78% |
| New Construction, Rehabilitation/Acq Homeownership | Affordable Housing                | HOME      | Homeowner Housing Added   | Household Housing Unit | 5    | 0    | 0.00%   | 8    | 0    | 0.00%   |
| Provide Homelessness Prevention                    | Homeless                          | ESG       | Homelessness Prevention   | Persons Assisted       | 25   | 0    | 0.00%   | 1    | 0    | 0.00%   |
| Provide Public Services                            | Non-Housing Community Development | CDBG      | Public service activities other than Low/Moderate Income Housing Benefit                    | Persons Assisted       | 1100 | 630  | 57.27%  | 260  | 630  | 242.31% |
| Support New Construction, Rehab/Acquisition Rental | Affordable Housing                | CDBG HOME | Rental units constructed  | Household Housing Unit | 400  | 2    | 0.05%   | 80   | 2    | 0.25%   |
| Support New Construction, Rehab/Acquisition Rental | Affordable Housing                | CDBG HOME | Rental units rehabilitated  | Household Housing Unit | 265  | 80   | 30.19%  | 53   | 80   | 150.94% |
| Tenant Based Rental Assistance                     | Affordable Housing                | HOME ESG  | Tenant-based rental assistance / Rapid Rehousing  | Households Assisted    | 20   | 4    | 20.00%  | 4    | 4    | 100.00% |

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

HUD entitlement funds were allocated in alignment with the priorities identified in the County’s 5-Year 2021-2025 Consolidated Plan and PY 2021 Annual Action Plan (AAP). These included public facilities and infrastructure improvements, public services, homeless prevention services and emergency shelter operations, affirmatively furthering fair housing and affordable housing preservation and development. All funds were directed and intended to help low-to-moderate income (LMI) residents and special needs groups. The County’s use of funds were directed as follows:

Public Facilities and Infrastructure Improvements: CDBG \$1,314,001.87 (56.2%)

Public Services: CDBG \$242,884.27 (10.4%)

Affordable Housing Preservation and Development: \$434,896.14 (18.6%)

Administration: CDBG \$344,984.55 (14.8%)

Total CDBG: \$2,336,766.83

Affordable Housing Preservation and Development: HOME \$1,162,543.64 (100% includes HOME admin)

Homeless Prevention Services/Rapid Rehousing: ESG \$225,619.85 (100% includes ESG admin and HMIS support)

## **PY 2021 Goals and Actual Accomplishments Comparison**

Administration & Planning: The CDBG, HOME and ESG programs were administered by Collier County Community and Human Services Division.

CHDO: The County has a 15% set-side of HOME funds to support CHDOs to acquire and construct affordable rental housing for LMI households. There were no activities completed in the PY, as the County is still working with housing partners and encouraging them to become a CHDOs.

Emergency Housing and Services for the Homeless: The County had a goal to serve 120 homeless persons with shelter operations and 543 were assisted. This activity was accomplished with the help of the Shelter for Abused Women & Children and Youth Haven.

HMIS Support: HMIS data collection was completed through the Hunger & Homeless Coalition.

Improve Public and Other Facilities: The County had a goal to assist 180 persons and exceeded this goal with 54267 persons assisted. Improvements to public facilities are intended to benefit low/mod areas. Facility improvements were made to the Golden Gate Senior Center, Legal Aid facility, Esperanza Community Center, Immokalee Fire Station and the Shelter for Abused Women and Children.

Improve Public Infrastructure: The County had a goal to assist 1125 persons and exceeded this goal with 8210 persons assisted. Improvements to public infrastructure are intended to benefit low/mod areas. Infrastructure improvements included water/sewer improvements through the Bayshore CRA Fire Suppression activity, and crosswalks and street lighting improvements in the City of Naples.

New Construction, Rehabilitation/Acq Homeownership: The County had a goal to assist 8 LMI households with new affordable housing, however this activity was not completed in PY 2021. There is a HOME project underway funded with Habitat to support construction of 52 units, and the County will report this assistance once the activity has been completed.

Provide Homelessness Prevention: The County had a goal to assist 1 LMI person with homeless prevention through permanent housing, however this wasn't completed due to the focus on emergency shelter operations.

Provide Public Services: The County had a goal to assist 260 LMI persons with vital public services and exceeded this goal with 630 LMI persons assisted. These activities included transportation services for persons with a disability and legal services and housing counseling services which



assisted LMI households.

Support New Construction, Rehab/Acquisition Rental: The County had a goal for 53 units to be rehabilitated and assisted 80 households. There were 11 LMI households assisted with rental rehab from the HOME program and 69 LMI households assisted with the HVAC replacement at CCHA through the CDBG program.

Tenant Based Rental Assistance: The County had a goal to assist 4 LMI households with rapid rehousing rental assistance, and this goal was met with 4 households assisted.

### **CARES Act Goals**

In response to COVID-19, the County amended its 2019 AAP to include projects/goals that prevent, prepare for and respond to the coronavirus and these activities continued in PY 2021. For CV public services, CDBG-CV funds went to support continuing case management of healthcare services to help vulnerable patients with chronic conditions from contracting the virus. This activity assisted 189 LMI persons. In addition, the County funded the Immokalee Fire Control District to help with COVID protection actions, and this activity had a low/mod benefit for an estimated 36,775 persons. The County also supported legal, financial, and accounting support services for small businesses impacted by COVID-19, and as a result 41 jobs were created and/or retained.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).  
91.520(a)

|   | CDBG         | HOME      | ESG        |
|---|--------------|-----------|------------|
| White                                     | 1,217        | 9         | 309        |
| Black or African American                 | 296          | 2         | 67         |
| Asian                                     | 22           | 0         | 3          |
| American Indian or American Native        | 4            | 0         | 1          |
| Native Hawaiian or Other Pacific Islander | 0            | 0         | 0          |
| <b>Total</b>                              | <b>1,539</b> | <b>11</b> | <b>380</b> |
| Hispanic                                  | 1,139        | 9         | 184        |
| Not Hispanic                              | 529          | 2         | 229        |

Table 2 – Table of assistance to racial and ethnic populations by source of funds

### Narrative

**DATA NOTE:** Due to the limitations of the IDIS system’s reporting table, “other multi-racial” households were not included in the race table above as there wasn’t an input row to enter this race group. Also, the County had activities such as public facility and infrastructure improvements that had a benefit for residents living in low/mod areas in the County, and were not captured in the table reported above. Further, for ESG there were actually 547 persons assisted (543 shelter and 4 rapid rehousing), but as there is no line for “other or multiple races” in IDIS, 42 persons were not included in the table above. Also, for ESG, there were 125 individuals and families who refused/did not know the answer during intake. The table above only represents activities that were able to collect race/ethnicity data, therefore the numbers will not equal total persons served reported in this CAPER.

#### *Assistance by Racial and Ethnic Composition*

According to the most recent 2016-2020 ACS, the majority of the County’s residents identify racially as white with 84.5%, and with minority races being 6.8% black, 1.5% Asian, and all other minority race groups with less than 1% combined. Individuals who identified as “some other” race were 1.9% and “two or more” races were 5.2%. Ethnically, 28.0% of the population in Collier County identified as Hispanic.

CDBG: Please note that due to the IDIS table limitation, there was not a category for other multi-racial. As reported by race, the table above has a total of 1,539 persons assisted, however the actual total of persons assisted is 1,668 as there were 129 other multi-racial persons assisted. The following accounts for all persons assisted in the CDBG program. Approximately 17.7% of CDBG funding went towards assisting Black or African Americans and 1.3% went towards Asians. Persons who were “Other multi-racial” was approximately 7.7% of all persons assisted in the CDBG program. About 68.3% of CDBG funding went towards assisting Hispanic persons.

HOME: There were 11 households assisted in PY 2021 with completed rehab activities at the Oak Marsh rehab development. By race, the activity assisted 9 white households and 2 black households. Reporting by ethnicity, the activity assisted 9 Hispanic households and 2 non-Hispanic households.

HOME racial and ethnic data is compiled for only “completed” activities within a program year. There were several activities that were still open, and these will be reported in future CAPERS when the activities are completed.

ESG: For ESG, there were a total of 547 individuals assisted with services through the ESG program (543 homeless shelter and 4 rapid reshousing), however the data table above does not report on “other races” or “multiple races” as well as persons who reported they did not know, or data was not collected. For persons assisted with ESG by race, 56.5% were white, 12.2% were black or African American, 0.5% was Asian and 7.7% were “Other multiracial” (42 persons). There were 125 persons who did not know/refused, or data could not be collected. By ethnicity, 33.6% were Hispanic, 41.9% were non-Hispanic and the remaining 24.5% didn’t know/refused or data could not be collected.

### **Housing Needs Assessment**

The Needs Assessment in the 2016-2020 Consolidated Plan, assesses if any race and ethnic group by income category has a disproportionate need as compared with the general population in the area with regards to housing problems, severe housing problems and housing cost burden. Households with housing problems are those that reside in units lacking complete kitchen and plumbing facilities as well as overcrowding (more than one person per room) and cost burden (spending 30% or more of income on housing per month). Households with severe housing problems are those that reside in units lacking complete kitchen and plumbing facilities as well as severely overcrowded homes (more than 1.5 person per room) and severe cost burden (spending 50% or more of income on housing per month).

Housing Problems (NA-15): According to the Needs Assessment, minority race group households that have a disproportionate need in housing problems are none at 0%-30% AMI; Black and African American, Asian and American Indians/Alaska Natives at 30%-50% AMI; Asians and American Indians/Alaska Natives at 50%-80% AMI; and Pacific Islanders at 80%-100% of AMI.

Severe Housing Problems (NA-20): Minority race group households that have a disproportionate need in severe housing problems are Black and African Americans, Asians and Hispanics at 0-30% AMI; American Indians/Alaska Natives at 30%-50%; Black and African Americans and Asians at 50%-80% AMI; and Asians and Pacific Islanders at 80%-100% AMI.

Housing Cost Burden (NA-25): For housing cost burden, Black and African American and Hispanic households have a disproportionate need with housing cost burden.

Reporting by race, the HOME program assisted 11 households and 9 were white and two were black. By ethnicity, 9 of the households were Hispanic while the two remaining households were non-Hispanic. The CDBG renter rehab programs assisted a total 71 renter households and 1 was white, 18 were black and 52 were “other”. By ethnicity, 53 of the households were Hispanic while 18 were non-Hispanic. In comparing

the disproportionate needs of certain race/ethnic groups as described by the Needs Assessment to the beneficiary outcomes provided, the County has adequately assisted Black and African American and Hispanic households. All other minority groups have disproportionate needs across the income levels; however, it should be noted that they are smaller groups and the County may not be able to assist all smaller minority groups in every program year.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

| Source of Funds | Source           | Resources Made Available | Amount Expended During Program Year |
|-----------------|------------------|--------------------------|-------------------------------------|
| CDBG            | public - federal | 2,908,061                | 2,336,767                           |
| HOME            | public - federal | 1,450,698                | 1,162,544                           |
| ESG             | public - federal | 217,796                  | 225,620                             |

Table 3 - Resources Made Available

### Narrative

In PY 2021, Collier County had sources of Federal funds from CDBG, HOME, and ESG grant programs. The table above describes the resources made available during the program year as well as funds expended during the program year. The County also had CARES Act funds from CDBG-CV and ESG-CV grant programs which are not displayed above. These funds are explained in the narrative below.

In PY 2020, the County had \$2,908,061 in resources made available in the CDBG program. These funds were \$2,744,274 from the PY allocation, \$29,000 from program income and \$134,787 from prior year resources. As reported in the PR07, the County expended a total of \$2,336,767 in CDBG funds. CDBG funds were used for public facilities and infrastructure improvements throughout the County, public services for LMI and special needs, affordable housing activities and admin of the program. CDBG expenditures by priority were:

Public Facilities and Infrastructure Improvements: CDBG \$1,314,001.87 (56.2%)

Public Services: CDBG \$242,884.27 (10.4%)

Affordable Housing Preservation and Development: \$434,896.14 (18.6%)

Administration: CDBG \$344,984.55 (14.8%)

Total CDBG: \$2,336,766.83

For HOME, the County had resources made available in the amount of \$1,450,698. These funds were \$753,000 from the PY allocation, \$31,571 from program income and \$666,127 from prior year resources. As reported in the PR07, the County expended a total of \$1,162,544 in HOME funds. HOME funds were used for TBRA, housing rehab and admin of the program. HOME expenditures by activity were:

Rental Rehabilitation: \$98,689.04 (8.5%)

New Construction for Homeowners: \$797,710.50 (68.6%)

Tenant Based Rental Assistance (TBRA): \$151,749.12 (13.1%)

HOME Admin: \$114,394.98 (9.8%)

For ESG, the County had \$217,796 in resources made available, of which funds came from the annual PY allocation. As reported in the PR07, the County expended a total of \$225,620 in ESG funds. ESG funds

went towards homeless shelter operation, rapid rehousing activities, HMIS data collection and admin of the program. ESG expenditures by activity were:

Rapid Rehousing: \$14,775.26 (6.5%)  
Emergency Shelter Operations: \$132,956.93 (58.9%)  
HMIS Data Collection: \$60,591.79 (26.9%)  
ESG Admin: \$17,295.87 (7.6%)

There was also a total match amount of \$159,655.93 made from local government and private funds.

### ***CARES Act Funds***

CDBG-CV: Through the CARES Act HUD allocated CDBG-CV funds for a total of \$4,232,728 to help fund programs that prevent, prepare for and respond to COVID-19. Total expenditures since the start of the CDBG-CV program is \$602,232.07 and leaves a remaining balance of \$3,630,495.93. The PR-02 list of activities and expenditures is included in the CR-00.

CDBG-CV Public Services: \$381,259.59 (63.3%)  
CDBG-CV Economic Development: \$81,418.83 (13.5%)  
CDBG-CV Administration: \$139,553.65 (23.2%)

ESG-CV: The CARES Act allocation for ESG-CV was for a total of \$3,183,770. In PY 2021, the County expended \$2,087,448.08 in ESG-CV funds towards shelter operations, rapid rehousing, homeless prevention and admin in response to COVID-19. This brings total expenditures of the ESG-CV program to \$2,110,224.23 with a remaining balance of \$1,073,545.77. The PR-02 list of activities and expenditures has been included in the CR-00.

ESG-CV Shelter Operations: \$1,533,914.11 (73.5%)  
ESG-CV Rapid Rehousing: \$347,059.59 (16.6%)  
ESG-CV Homeless Prevention Services: \$44,652.59 (2.1%)  
ESG-CV Administration: \$161,822.09 (7.8%)

### ***HOME ARP***

Collier County was awarded \$2,729,078 in HOME-American Rescue Plan (ARP) funds in a one-time allocation from HUD to address the ongoing health and economic impacts of the pandemic. HOME-ARP funding was created to assist individuals or households who are experiencing homelessness, at risk of homelessness, and other vulnerable populations, by providing affordable housing, rental assistance, supportive services, and non-congregate shelter, to reduce homelessness and increase housing stability. The County's HOME-ARP Allocation Plan defines and identifies the use of eligible HOME-ARP grant funds to address these needs, which the County has identified in Collier County as supportive services, the acquisition and development of non-congregate shelters, nonprofit capacity building and admin and

planning of the program. In PY 2021, the HOME-ARP program was started and the only expenditures in the program year was \$413.51 from admin and planning.

**Identify the geographic distribution and location of investments**

| Target Area | Planned Percentage of Allocation | Actual Percentage of Allocation | Narrative Description   |
|-------------|----------------------------------|---------------------------------|---|
| Countywide  | 100                              | 100                             | Countywide eligible block group tracts and by individual eligibility. |

**Table 4 – Identify the geographic distribution and location of investments**

**Narrative**

The County’s CDBG, HOME and ESG funds went to benefit residents Countywide. In general, the County targets resources in low/mod income census block groups to meet regulatory requirements for CDBG grant funds and to target areas with the highest level of need. Maps provided in the Strategic Plan of the Consolidated Plan (SP-10) show Collier County block groups where 51% or more of the population have incomes at or below 80% of the area median income. These areas are considered “target areas” for use of HUD grant funds for area improvements, such as public infrastructure or facility improvements.

HUD funds may also be spent outside of these targeted areas, as long as they provide services, improvements, affordable housing, or other benefits for LMI households or special needs populations.

In summary, the low/mod income block groups identified in the Strategic Plan will generally be prioritized for allocation of grant resources, however, individual LMI persons residing anywhere in Collier County may be eligible beneficiaries of CDBG funds based on grant program eligibility criteria.

## **Leveraging**

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

CDBG and HOME funds were used as gap financing, which allowed other funds to be leveraged through CHS participation. Federal funds also help the County to leverage State Housing Initiative Partnership (SHIP) funding of approximately \$3,000,000 which is used for a wide range of rental housing and homeownership assistance.

CDBG funds awarded to public service providers help to leverage additional public and/or private funding streams that provide a variety of services. For example, several nonprofits receive state funds through the Dept of Children & Families to support CDBG-funded programs. In recent years, subrecipients also had large private donors who made cash donations.

### ***Publicly Owned Land or Property Used to Address the Plan***

Collier County maintains an inventory of County-owned surplus land through its Real Property Division. Locally, the disposition of surplus land is governed by Resolution 2007-172 and Resolution 2010-123, which direct the County to sell the land and use the proceeds to increase financial resources set-aside for affordable housing.

In October 2017, the Collier County Board of County Commissioners (BOCC) accepted a newly developed Community Housing Plan which identified a wide range of housing needs in the community and multiple housing strategies to address housing affordability issues. As part of that plan, an inventory and assessment was completed of all county-owned property to determine appropriateness for public uses, including the provision of affordable housing. The result of the process identified the Bembridge site as suitable for affordable housing. McDowell Housing Partners was selected to develop the site to include 82 affordable rental units. The Developer closed financing for the project, The Harmony, mid-Dec 2021 with construction commencing shortly thereafter with anticipated construction completion within 14 months. Another project, the development of a portion of the location of the former Golden Gate Golf course, will result in a two phase development of 350 units of housing. First phase of 250 units for Essential Services Personnel is scheduled to break down in the 4th Quarter of 2022 and will target income households earning between 60 and 140% of AMI with rents restricted at 100% of AMI (adjusted by number of bedrooms) and below.

In addition, the BOCC recently adopted Resolution 2018-39, which encourages the co-location of housing and public facilities when the County acquires future property.

### ***MBE/WBE Report***



There were a total of 27 contracts awarded to local businesses for construction, repair and services for a total amount of \$6,448,935,81. For the purposes of the MBE/WBE report, 23 were white-owned business owners, and 3 were Hispanic and 1 was black. The 3 Hispanic-owned business were awarded \$609,966.00 and the 1 black-owned business was awarded \$29,600.00. There was 1 women-owned business with a contract in the amount of \$7,570.00 awarded.

**HOME Match Requirement**

Per HOME regulations, HOME grant recipients are required to provide a 25% match from nonfederal funds as a permanent contribution to affordable housing activities, however in PY 2021, according to the PR33 HOME Match Liability Report, the County has a 100% match reduction for COVID-19, and the HOME match liability is zero. The County keeps a record of match contributions every year. See the following table below for details of the HOME match and HOME match contributions.

**ESG Match Requirement**

As per ESG regulations, ESG grant recipients are required to make matching contributions in the amount equal or more than the PY ESG grant allocation - or a 100% match. This amount may include contributions to any project under the recipient's ESG program, including any subrecipient's ESG project, if applicable requirements are met as per 24 CFR 576.201. The PY 2021 ESG grant allocation for Collier County was \$217,796 and this amount was completely matched from local government funds and other private funds through subrecipients. ESG is now reported in the annual ESG Sage report.

| <b>Fiscal Year Summary – HOME Match</b>  |              |
|--|--------------|
| 1. Excess match from prior Federal fiscal year                                 | \$580,483.16 |
| 2. Match contributed during current Federal fiscal year                        | \$372,751.76 |
| 3. Total match available for current Federal fiscal year (Line 1 plus Line 2)  | \$953,234.92 |
| 4. Match liability for current Federal fiscal year                             | \$0          |
| 5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4) | \$953,234.92 |

**Table 5 – Fiscal Year Summary - HOME Match Report**

| Match Contribution for the Federal Fiscal Year |                      |                            |                               |                              |                         |   |                |              |
|--|----------------------|----------------------------|-------------------------------|------------------------------|-------------------------|---|----------------|--------------|
| Project No. or Other ID                        | Date of Contribution | Cash (non-Federal sources) | Foregone Taxes, Fees, Charges | Appraised Land/Real Property | Required Infrastructure | Site Preparation, Construction Materials, Donated labor | Bond Financing | Total Match  |
| 617 Habitat for Humanity Whippoorwill          | 01/21/2022           | \$10,654.39                | 0                             | 0                            | 0                       | 0   | 0              | \$10,654.39  |
| 617 Habitat for Humanity Whippoorwill          | 01/21/2022           | \$90,614.76                | 0                             | 0                            | 0                       | 0   | 0              | \$90,614.76  |
| 617 Habitat for Humanity Whippoorwill          | 01/27/2022           | \$22,931.66                | 0                             | 0                            | 0                       | 0   | 0              | \$22,931.66  |
| 617 Habitat for Humanity Whippoorwill          | 02/08/2022           | \$73,987.08                | 0                             | 0                            | 0                       | 0   | 0              | \$73,987.08  |
| 617 Habitat for Humanity Whippoorwill          | 04/26/2022           | \$23,398.11                | 0                             | 0                            | 0                       | 0   | 0              | \$23,398.11  |
| 22.01.NON B. Lewinski                          | 02/16/2022           | \$143,504.92               | 0                             | 0                            | 0                       | 0   | 0              | \$143,504.92 |
| 630 NAMI TBRA                                  | 10/13/2021           | \$1,634.01                 | 0                             | 0                            | 0                       | 0   | 0              | \$1,634.01   |
| 630 NAMI TBRA                                  | 10/13/2022           | \$1,634.01                 | 0                             | 0                            | 0                       | 0   | 0              | \$1,634.01   |
| 630 NAMI TBRA                                  | 10/13/2022           | \$1,558.76                 | 0                             | 0                            | 0                       | 0   | 0              | \$1,558.76   |
| 630 NAMI TBRA                                  | 12/15/2021           | \$1,057.50                 | 0                             | 0                            | 0                       | 0   | 0              | \$1,057.50   |
| 630 NAMI TBRA                                  | 01/31/2022           | \$776.56                   | 0                             | 0                            | 0                       | 0   | 0              | \$776.56     |
| 630 NAMI TBRA                                  | 04/21/2022           | \$1,000.00                 | 0                             | 0                            | 0                       | 0   | 0              | \$1,000.00   |

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

| <b>Program Income – Enter the program amounts for the reporting period</b> |  |  |                                       |   |
|--|--|--|---------------------------------------|---|
| <b>Balance on hand at begin-ning of reporting period</b><br>\$             | <b>Amount received during reporting period</b><br>\$ | <b>Total amount expended during reporting period</b><br>\$ | <b>Amount expended for TBRA</b><br>\$ | <b>Balance on hand at end of reporting period</b><br>\$ |
| \$85,242.87  | \$31,571.43  | \$88,400.01  | \$151,749.12                          | \$28,414.29   |

**Table 7 – Program Income**

| <b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b> |           |                                   |                           |                    |          |                    |
|---|-----------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
|   | Total     | Minority Business Enterprises     |                           |                    |          | White Non-Hispanic |
|   |           | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| <b>Contracts</b>  |           |                                   |                           |                    |          |                    |
| Number  | 27        | 0                                 | 0                         | 1                  | 3        | 23                 |
| Dollar Amount   | 6,448,936 | 0                                 | 0                         | 29,600             | 609,966  | 5,809,370          |
| <b>Sub-Contracts</b>  |           |                                   |                           |                    |          |                    |
| Number  |           |                                   |                           |                    |          |                    |
| Dollar Amount   |           |                                   |                           |                    |          |                    |
|   | Total     | Women Business Enterprises        | Male                      |                    |          |                    |
| <b>Contracts</b>  |           |                                   |                           |                    |          |                    |
| Number  | 27        | 1                                 | 26                        |                    |          |                    |
| Dollar Amount   | 6,448,936 | 7,570                             | 6,441,366                 |                    |          |                    |
| <b>Sub-Contracts</b>  |           |                                   |                           |                    |          |                    |
| Number  |           |                                   |                           |                    |          |                    |
| Dollar Amount   |           |                                   |                           |                    |          |                    |

**Table 8 - Minority Business and Women Business Enterprises**

| <b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b> |       |                                   |                           |                    |          |                    |
|--|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
|  | Total | Minority Property Owners          |                           |                    |          | White Non-Hispanic |
|  |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Number   |       |                                   |                           |                    |          |                    |
| Dollar Amount  |       |                                   |                           |                    |          |                    |

**Table 9 – Minority Owners of Rental Property**

| <b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition |       |                                   |                           |                    |          |                    |
|--|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
| Parcels Acquired   |       |                                   |                           |                    |          |                    |
| Businesses Displaced   |       |                                   |                           |                    |          |                    |
| Nonprofit Organizations Displaced  |       |                                   |                           |                    |          |                    |
| Households Temporarily Relocated, not Displaced  |       |                                   |                           |                    |          |                    |
| Households Displaced   | Total | Minority Property Enterprises     |                           |                    |          | White Non-Hispanic |
|  |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Number   |       |                                   |                           |                    |          |                    |
| Cost   |       |                                   |                           |                    |          |                    |

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

|  | One-Year Goal | Actual    |
|--|---------------|-----------|
| Number of Homeless households to be provided affordable housing units      | 120           | 4         |
| Number of Non-Homeless households to be provided affordable housing units  | 25            | 82        |
| Number of Special-Needs households to be provided affordable housing units | 3             | 0         |
| <b>Total</b>   | <b>148</b>    | <b>86</b> |

**Table 11 – Number of Households**

|  | One-Year Goal | Actual    |
|--|---------------|-----------|
| Number of households supported through Rental Assistance             | 0             | 4         |
| Number of households supported through The Production of New Units   | 4             | 0         |
| Number of households supported through Rehab of Existing Units       | 50            | 80        |
| Number of households supported through Acquisition of Existing Units | 3             | 2         |
| <b>Total</b>   | <b>57</b>     | <b>86</b> |

**Table 12 – Number of Households Supported**

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

In PY 2021, non-homeless housing needs were addressed through the CDBG and HOME programs. The HOME program assisted for 11 LMI renter households with new affordable rental housing units completed at the Oak Marsh development project. There are currently over 80 LMI households being served with TBRA through the Collier County Housing Authority, however HOME activities are only reported when they are completed. Another HOME activity that is currently open and in progress is the new Habitat for Humanity Whippoorwill Multifamily Housing Development when completed will provide for 52 new affordable units. The completion of these projects will help to satisfy the established goals in the plan.

The CDBG program assisted a total of 71 LMI households with rental rehab and acquisition activities. There were 69 LMI renter households assisted at the Collier County Housing Authority's (CCHA) rental housing HVAC rehab activity. HVAC improvement at rental units owned by the CCHA would increase the

energy efficiency of these units. There were another 2 LMI renter households assisted through the acquisition of units for the purpose of adding to the affordable housing stock of the CCHA.

Homeless households are served by the ESG program. There were 4 homeless households assisted with rapid rehousing rental assistance, which helped them to avoiding becoming homeless again.

**Discuss how these outcomes will impact future annual action plans.**

The need for affordable housing was a common theme heard during the Consolidated Plan development process. The greatest need for renters is affordable housing for the elderly, frail elderly, disabled persons, and veterans which can come in the form of financial assistance such as TBRA. For potential LMI homebuyers, there is a need to support homeownership opportunities through down payment or closing cost assistance. Both LMI renter and owner households need support for development of affordable housing, including projects located near job centers that will be affordable to service employees and other low-wage members of the workforce.

Housing for people who are homeless and homelessness prevention was also identified as a priority need. Assistance can come in the form of short-term to medium-term rental payment assistance, otherwise known as rapid rehousing rental assistance. This activity will continue to be funded and the current goal outcomes will remain in future annual action plans. ESG funding is also provided for both individuals and families at-risk of or experiencing homelessness and in need of rental housing assistance.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

| Number of Households Served | CDBG Actual | HOME Actual |
|-----------------------------|-------------|-------------|
| Extremely Low-income        | 25          | 0           |
| Low-income                  | 45          | 11          |
| Moderate-income             | 1           | 0           |
| <b>Total</b>                | <b>71</b>   | <b>11</b>   |

**Table 13 – Number of Households Served**

**Narrative Information**

For CDBG, there were a total of 71 LMI renter households assisted through two activities with the Collier County Housing Authority (CCHA). The CCHA HVAC replacement program assisted 69 LMI households, and the breakdown of the households by income category were 23 extremely low-income, 45 low-income and 1 moderate income household. The CCHA also acquired two rental units that benefitted 2 extremely low-income households.

The table above only reports on households assisted with affordable housing through the HOME program. Through the HOME program, there were 11 LMI households assisted with the new Oak Marsh affordable rental housing development. Reporting by income, all the households assisted were 11 low-income households. The HOME TBRA program is currently ongoing, and the County anticipates it will report an additional 80 LMI households assisted in an upcoming report. HOME only reports accomplishments once an activity has been marked completed in HUD's IDIS reporting system.

### ***Worst Case Needs***

Those with worst case needs are individuals or households at-risk of or experiencing homelessness, extremely low-income renters and vulnerable populations such as the elderly and persons with a disability that are at risk of homelessness. In the program year, the County reported 25 extremely low-income households assisted (0-30% AMI) through the CDBG program with housing rehab and the acquisition of new rental housing units.

Extremely low-income renter households are at imminent risk of homelessness as they lack the funds to maintain housing during emergency and times of crisis. According to the Comprehensive Housing Affordability Strategy (CHAS) data reported in the County's 2021-2025 Consolidated Plan needs assessment, there are approximately 3,180 extremely low-income renters (0-30% AMI) in Collier County that are also severely cost burdened (households paying 50% of income towards housing costs). To address this need, the County will continue to direct funds towards affordable rental housing opportunities such as TBRA and new affordable rental unit developments.

To target special needs groups such as the elderly and persons with disabilities, specific activities taken include SHIP funds that were provided to ROOF in PY 2021 for special needs housing assistance ROOF also administered a TBRA program for their special needs clients in the program year. NAMI (National Alliance for the Mentally Ill) was another organization that administered TBRA for LMI households at-risk of homelessness. CASL (Community Assisted and Supported Living, Inc.) was provide SHIP PY2021 funding to provide permanent supportive housing to persons with severe and persistent mental illness, many of whom are homeless or are at risk of homelessness. Through these rental assistance programs, the County and its partners were able to assist households at-risk of homelessness with affordable housing.

### ***Middle-Income Persons (Non-LMI)***

There were no middle-income clients served (non-LMI). All programs served LMI clientele at or below 80% AMI for the HOME program and below 30% AMI for the ESG program.

DATA NOTE: It is important to note that the template does not ask for information regarding middle-income clientele, nor does it provide a space to report on middle-income persons.

### ***Section 215: Do the number of owner and renter households assisted meet the Section 215 definition of affordable housing***



There were no owner-occupied housing households or homebuyers assisted in the program year. The renter households assisted meet the Section 215 definition of affordable housing in the following ways:

- Beneficiaries of the rental assistance program has rent that does not exceed the FMR for comparable units and rent does not exceed the 30% of adjusted income.
- There were no units completed with construction or rehab with HOME funds in the program year.
- The County did not use any grant funds to acquire any new units.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Collier County participates in and works closely with the Hunger and Homeless Coalition (HHC), the lead agency of the Continuum of Care (CoC). A primary means by which the County reaches out to homeless persons, identifies individual needs, and understands the landscape of homelessness in the region, is through efficient data collection and analysis through the HMIS system. In PY 2021, the County expended \$50,836 in ESG funds to support HMIS data collection and reporting activities. These activities help to support operations and ensure accurate tracking and reporting of the homeless population in Collier County.

The County also reaches out to homeless persons and to assess their needs through the annual Point-in-Time (PIT) count. The PIT count is a survey of the homeless on a given night in January and provides a snapshot of who experiences homelessness throughout the year. The participation of the County and CoC member agencies helps with the assessment and planning concerning effective homeless services in the area. The 2022 PIT Count reported there were a total of 462 person experiencing homeless in Collier County on the night of the Count, of which 305 were sheltered and 157 were unsheltered.

Another means of outreach is the Collier 211 Information and Referral Service system. The County ensures that the 211 system-database is updated periodically with County resources. The 211 system helps the County to provide outreach and refer individuals to the appropriate service providers to meet their needs free of charge. Collier 211 is also confidential, open 24 hours, and accessible year-round. The County continued to encourage every local agency to list their information with 211 and currently has over 250 agencies listed with a wide range of services. The 211 service is available to all residents and visitors in Collier County by dialing 211 or 263-4211 or by visiting online for more information at: <https://unitedwayofcolliercounty.org/get-help/>

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The County provided ESG funding for essential services and operations of emergency shelters, including domestic violence shelters and transitional housing facilities that assisted a total of 543 persons in the program year. One of the agencies funded was Shelter for Abused Women and Children, which serves homeless women, men, children and pets that are victims of domestic violence, victims of human trafficking, and those who are currently at-risk of homelessness. The agency operates two 60-bed emergency shelters in Naples and Immokalee, in which both facilities feature residential shelter with an on-site pet kennel and a special needs unit. Basic need items such as food and clothing, individual and group counseling, and advocacy are offered free of charge. The agency also operates nine transitional

living cottages in Naples. In addition to the shelters, the agency provides outreach services, school-based prevention programs and training and education classes throughout Collier County.

Funding was also provided to the Hunger & Homeless Coalition for the use of Homeless Prevention and Rapid Rehousing activities. NAMI was another service provider for rapid rehousing in the County. Finally, the County supports the development of transitional housing to provide families with additional supports to regain stability in the community.

Funding is also provided to Youth Haven, which meets the needs of the homeless community in Collier County by providing services and programming for region's abused, abandoned and homeless children and teens. Youth Haven also provides for emergency shelter for children who had been removed suddenly from a traumatic home situation.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The Hunger and Homeless Coalition, as the lead of the CoC, is the primary agency addressing homeless needs in Collier County. The housing needs of homeless persons and families cannot be completely met by emergency shelters and transitional housing due to a lack of capacity. The County addressed some of that lack of capacity by utilizing its HOME and ESG funds for homelessness prevention activities including rental assistance to help low income families avoid becoming homeless.

HOME funds were provided for TBRA service providers to help LMI households find and secure affordable rental housing. The County has a high need for rental assistance households with extremely low incomes, because they lack resources in times of crisis such as health issues and loss of employment. Providing assistance to reduce housing costs in conjunction with providing supportive services allows at-risk individuals and families to stay in their homes and gives them the chance to regain economic independence.

ESG funds were allocated to the Shelter for Abused Women and Children for operating costs of the homeless domestic violence shelters to assist adults and children fleeing from domestic violence. Services offered help to assist individuals and families leaving systems of care in the County from becoming homeless. A variety of services were offered including the Children's Protection Investigations Program, the Community Education Program, Collier County Jail Group, youth services and Rapid Rehousing. More details on these programs and others offered by the agency, can be found on their website at: <https://naplesshelter.org/programs/>. In addition, the County partners with the Sheriff's Office, NAMI, and other service providers in implementing a Criminal Justice Mental Health grant for inmates with mental illness during incarceration and at discharge.

As mentioned earlier, ESG funds are provided to Youth Haven to provide homeless services and programming for the County's abused, abandoned and homeless children and teens. Youth Haven also provides for emergency shelter for children who had been removed suddenly from a traumatic home situation.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The County primarily assists LMI households with TBRA rental assistance to help residents avoid homelessness and make the successful transition to permanent housing. The County also continued to allocate ESG funds towards Rapid Rehousing to help homeless persons transition to permanent housing.

SHIP funds help to increase the supply of affordable housing through activities such as housing rehab, rental assistance, and homebuyer assistance. Increasing the affordable housing stock in Collier County will help to provide permanent housing for homeless individuals and families seeking stable housing.

Recently, nonprofits Community Assisted & Supported Living (CASL) and Residential Options of Florida (ROOF) merged to strengthen their services in Collier County. Now a single entity, the County refers individuals and families to this organization dedicated to the housing needs of those with mental health and substance abuse issues. The organization continues to work with local mental health and substance abuse providers to transition those in need to permanent supportive housing. They also continue to work to help families with a disability have access to housing choices that are affordable, sustainable, accessible, and safe. Recently, SHIP funds were awarded to help secure stable housing for their clients.

The County coordinates with the Collier County Veteran's Service Division to provide services to Veterans to assist them in accessing benefits from the Veteran's Administration Department. Local Veterans Affairs Supportive Housing (VASH) vouchers are provided through a regional partnership with the Housing Authority of the City of Fort Myers. The VASH program combines HUD's Housing Choice Voucher (HCV) rental assistance for homeless Veterans with case management and clinical services provided by the Department of Veterans Affairs. Collier County currently has several Veterans receiving rental assistance which helps their homes remain affordable and prevents homelessness.

The County's Social Services/Seniors Section continued to provide prescription and medical assistance and referral services for citizens and seniors. Assistance helps reduce monthly expenditures making housing costs more affordable for individuals and seniors on fixed incomes and helping to reduce homelessness.

The National Alliance on Mental Illness (NAMI) works to improve the quality of life for individuals and families affected by serious mental illness through services such as education, advocacy, and support. As

part of their support programs, NAMI administers a rapid re-housing program utilizing ESG funds to assist clients with mental health challenges.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

Collier County continues to work with the Collier County Housing Authority (CCHA) to develop and maintain affordable housing. The County recently funded the CCHA with HOME funding for Tenant Based Rental Assistance (TBRA) activities in the County. CCHA has also been awarded CDBG funds to install HVAC systems in the public housing units.

The mission of the CCHA is to offer a choice of decent, safe, and diverse affordable housing, with opportunities for low-income households to achieve economic independence in living environments free from discrimination. The CCHA owns and manages four public housing developments: 276 units of low-income housing in Farm Worker Village, 315 units of farm labor housing also in Farm Worker Village, 30 units of farm labor housing known as Collier Village, and a 192-bed dormitory known as Horizon Village. In addition, the Authority serves an estimated 3100 plus people through the administration of the Section 8 rental assistance program. The CCHA's Family Self-Sufficiency Program is available to HCV participants to provide services that help these households achieve their goals towards economic independence.

Collier County supports the goals of the CCHA to optimize services for its public housing and Housing Choice Voucher programs. During PY 2021, CCHA continued to strive to meet the following goals:

- Expand the supply of assisted housing.
- Improve the quality of assisted housing.
- Increase assisted housing choices.
- Provide an improved living environment.
- Promote self-sufficiency and personal development of assisted households; and
- Ensure equal opportunity and affirmatively further fair housing.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

CCHA offers a Family Self-Sufficiency (FSS) program to promote economic opportunities for HCV assisted families. Through the FSS program, case managers work with families to identify employment goals and access support services to achieve these goals. As a family's income rises, CCHA deposits the increased rent charges that the family pays in an escrow account. The escrow account may then be used by the family for college or vocational education expenses, work-related expenses, or toward homeownership as they graduate from the program.

The CCHA has a Section 8 Advisory Board and interested voucher holders are encouraged to join. This gives voucher participants a formal opportunity to offer input on the voucher program to the CCHA. The Section 8 Advisory Board also reviews CCHA's PHA plans and the agency's progress toward its goals.

### **Actions taken to provide assistance to troubled PHAs**

The Collier County Housing Authority (FL141) is not designated as troubled.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

Housing affordability is a nationwide issue as many families are paying too high of rent and mortgages to cover the cost of housing. Affordable housing is the cornerstone of stable families and wage increases have not kept pace with housing prices. Collier County is committed to maintaining housing opportunities and to eliminating barriers to affordable housing. The County continues to foster and maintain affordable housing to keep the housing market balanced and fair. Collier County continued to take specific actions in the program year to address regulatory barriers in affordable housing. The actions taken include encouraging the development of local ordinances that are inclusive of affordable housing by providing technical expertise to local municipalities and providing fair housing training and education opportunities to area subrecipients, Affordable Housing Advisory Committee (AHAC) members and community partners at large.

An affordability gap for both affordable and workforce housing exists in Collier County and the City of Naples. Although the County's land development codes are not prohibitive, there is a consensus that these codes are restrictive to certain housing types contributing to the affordability gap. Collier County currently offers density bonus, impact fee deferrals, and expedited permitting to support the increase of affordable housing.

The County Board of County Commissioners accepted a community-driven Countywide Affordable Housing Plan to develop additional incentives and programs to address affordable and workforce housing needs in the community. County staff have been working to implement changes identified in the plan. While not an exhaustive list of the report's recommendations, potential strategies include:

- Revisions to the County's Local Housing Assistance Plan to require 30- or 50-year affordability periods, rather than the current 15-year requirement; and
- Reviewing the use of Affordable Housing Density Bonus provisions, including determining how many units have been created under the provision and whether enforcement actions were taken against developers that neglected to provide the agreed upon units.

Beyond incentives to negate the effect of public policy, the County has further assisted in reducing barriers to affordable housing by implementing various programs targeted towards low-and moderate-income households. Through its grant programs, the County supports affordable housing by providing homeownership opportunities, bringing homes up to code through rehabilitation efforts, and offering rental assistance to eligible households. These programs assist in eliminating barriers to affordable housing by providing economic opportunities and minimizing overall household expenses.



In addition to the activities undertaken by the County, the Housing Authority's activities are specifically intended to address barriers to affordable housing by providing economic subsidies to those most in need of affordable housing. Towards this end, the CCHA continued to reduce the barriers to affordable housing by providing Section 8 vouchers and assistance programs aimed at transitioning residents from public housing into the private housing market.

Applications for housing, and the resulting units to be assisted with CDBG and HOME funds will be reviewed to assure that the housing is fully available to all residents of the community, regardless of race, color, national origin, gender, age, disability, familial status or sexual orientation/gender identity.

**Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

Addressing underserved housing needs is increasingly difficult. To make housing affordable to very low-income households' deep subsidies are needed. At this point, appropriations for housing programs are not at levels to widely support deep subsidies. Additionally, the number of families and individuals needing access to services has increased and many times the capacity to fund and implement existing or additional programs is limited.

The County continued to invest its federal funds in viable projects and combined federal sources with other resources so it could continue to provide residents with affordable housing options. The County continued to utilize its CDBG, HOME, and ESG funds to the fullest extent to assist in meeting underserved needs.

To help remove obstacles to meeting underserved needs and improve service delivery, Collier County worked with local non-profits and other subrecipients to coordinate the work of social service organizations, disseminate news and information, and spearhead community-wide solutions to local needs. Specifically, the County worked on projects to construct and improve public infrastructure and facilities, to support the development of affordable housing and benefit low- and moderate-income families; to provide public services such as legal aid services to victims, transportation and staffing services for persons with disabilities and other populations, a mobile mental health unit, and fund services for victims of violence.

The Community and Human Services Division (CHS) will continue working with County Growth Management, Public Health, the Public Library, Collier Area Transit, local non-profits as well as the County Commissioners on projects to benefit the underserved. Efforts continue to be made with the County CRA's in the Bayshore and Immokalee area to develop and implement strategies to address the capital improvement efforts in the County's target areas. CHS will continue to support funding infrastructure improvement activities that serve target area and low-to-moderate income populations.

The County also continued to work cooperatively with the Hunger and Homeless Coalition (HHC), Immokalee Interagency Council, Public Health Department, Collier County Housing Authority, and United Way of Collier and the Keys to continue to identify and address underserved and unmet needs.

Collier County will continue to support the Collier County Housing Authority's efforts to expand the availability and choice of affordable housing units to county residents. The county will also continue to coordinate with the Housing Authority on efforts to provide rental assistance to prioritized groups of eligible county residents, such as homeless and youth aging out of foster care.

**Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

Lead poisoning in Collier County has been a relatively minor problem as over 80% of the County's housing stock was built after 1980 (Source: 2015-2019 ACS). The County has implemented a rental housing rehabilitation program and continued to operate the program within the HUD Lead-Safe Housing regulations. The rehabilitation program offered through the County is reducing lead hazard by focusing on code compliance. The County also ensured that staff is appropriately trained in lead-based paint, pamphlets are distributed, inspections and assessments are conducted when required, and contractors trained in lead safe practices are used.

Collier County's Health Department has historically monitored and responded to all suspected cases of lead poisoning. Prior to issuing a Notice to Proceed or beginning any type of project, CHS completes an Environmental Review (ER). In addition to the requirements outlined in the ER, the potential for Lead Based Paint is always addressed. When a structure is identified as built prior to 1978 a separate review is completed. A specialist is hired to review the possibility of lead-based paint within the structure. If lead based paint is found in the structure, all individuals doing renovations, repairs and painting are required to be certified to safely complete improvements beyond minor repairs. The County follows procedures as specified in applicable regulations and, specifically, those detailed in Title X. The Division and its representatives provide all required notifications to owners and occupants. All Division policies and procedures have been revised to conform to Title X.

All projects carried out with federal funds incorporate the current procedures for hazard reduction or LBP abatement guidelines as defined in 24 CFR Part 35 and Section 302(c) of the Lead-Based Paint Poisoning and Prevention Act (42 U.S.C. 4822). These procedures govern projects contracted to subrecipients and developers and funded by CDBG, HOME, and ESG.

**Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

Collier County recognizes the interrelationship between housing and economic opportunities and the need to pursue both to assist households to attain self-sufficiency. The County recognizes while it has defined priority strategy areas, they are not separate or isolated strategies. These strategies are inter-related and impact, reinforce, and contribute to each other to achieve the common goal of a viable and vibrant community. Collier County continued to implement housing activities such as housing rehabilitation and rental assistance to help reduce the number of households in poverty.

All the programs outlined in the Consolidated Plan are intended to provide benefits to residents that are considered low-income and/or fall below the federal poverty line. The Community Development Block

Grant Program's objectives are to develop viable communities that provide decent, safe, and sanitary housing, a suitable living environment, and expanded economic opportunities primarily for persons of low- and moderate-income. The County utilizes its CDBG program to make a difference in the lives of its residents by providing resources to address unique community development needs. The County's HOME and SHIP programs are utilized to help increase the supply of affordable housing for low- to moderate-income households. The County's housing programs help to reduce housing costs for low-income families and assist in reducing the overall poverty level of the community. Programs include home improvement assistance, rental subsidies, and homebuyer assistance.

Collier County also provides funds to the Shelter for Abused Women and Children which offers emergency shelter facilities and essential services for homeless prevention to assist in reducing the number of households living below the poverty level.

In addition, hurricane hardening, and weatherization are done through the County's rehabilitation program to help reduce energy costs. This assistance is provided to reduce energy consumption and lower monthly energy bills. Typical measures consist of: air sealing; adding insulation; HVAC system repair or replacement; window and door repair or replacement; providing high-efficiency lighting fixtures, energy star refrigerators and other electric base load reduction; and work items that mitigate energy-related health and safety concerns. In 2021, the County continued to implement the EHEAP program, which provides utility assistance for seniors who are at or below 110% of the federal poverty level.

The County is proud of its work to eliminate cost burden and target resources in an effective and coordinated manner. In addition to combatting poverty through its housing and community development programs, the County has two designated CRAs and continues to focus much of its HUD funding in those areas. By doing so, the County leveraged complementary initiatives aimed at workforce development, business development, public infrastructure and community programming initiatives, and other quality of life features that connect persons living in poverty with services, and opportunities to lift those families out of poverty.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

The Collier County Community and Human Services Division (CHS) serves as the lead entity in carrying out Consolidated Plan objectives and in making sure CDBG, HOME, and ESG funds are carried out in accordance with federal regulations. CHS coordinated with various public, private, and non-profit agencies in carrying out its activities. Collaboration between local, county, and state agencies is important in successfully carrying out the goals and objectives identified in the Consolidated Plan and addressing community needs.

Collier County maintains a robust Affordable Housing Advisory Committee (AHAC), composed of representatives from the residential home building, banking/mortgage, labor, low-income advocacy, for-profit social service, non-profit social service, real estate, employers, and the local planning industries. These representatives serve as an informed body of experts with unique perspectives on the local

landscape of affordable housing needs and market dynamics in Collier County. Collier County continued to work with the AHAC to strengthen its leadership role in guiding affordable housing decisions in the community. Strengthening the institutional expertise and authority of the AHAC will improve the County's work in the years ahead.

The County also partnered with the Hunger and Homeless Coalition and is a regular participant in CoC meetings. The County contributes and participates at the requested levels to aid the Coalition in addressing the homeless situation that exists in the area.

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The County worked to foster collaboration through dissemination of services, news, and information across social service organizations, sub-recipients, and public and private entities. One way the County accomplished this is through subrecipient meetings that brought together affordable housing developers and social service agencies. Through these meetings and evolving network, CHS was able to alert partners to grant regulation changes, education opportunities, general information, and an opportunity to create collaborative arrangements to meet the needs of the community. Further, CHS has very good relationships with many local banks related to the down payment assistance activities.

Collier County participates in the monthly Immokalee Interagency Coalition which includes representatives from more than 40 different social service agencies, educational and healthcare organizations, housing providers and others.

The County also partners with social service agencies through the Mental Health and Substance Abuse (MHSA) Planning Council. This council includes law enforcement, courts, social service agencies, housing providers and the homeless coalition, all who work collaboratively to address the needs of those who are homeless, suffer from MHSA issues, have been incarcerated, and are homeless.

Additionally, development of the countywide Community Housing Plan required input from and coordination of both housing providers and social service agencies. The County encouraged community-based solutions and regional partnerships, and continued to support and participate in the CoC, whose membership includes both affordable housing and social service agencies.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

The County's most recent Analysis of Impediments to Fair Housing was developed in June 2021. The AI identified four (4) specific impediments which the CHS staff has been addressing.

**Impediment #1 Lack of Fair Housing Organization/Fair Housing Education**

In PY 2021, Collier County addressed this impediment by:

- Providing funding to Legal Aid Service of Broward County, Inc. d/b/a Legal Aid Service of Collier County to assist low-income families with legal services to address fair housing concerns.
- Providing funding to Housing Education Lending Programs (HELP) and other housing entities
- Continue to fund educational workshops and a housing fair and other efforts to educate the community.

In addition, the Community and Human Services Division continued the following to assist in addressing fair housing impediments:

- Fair housing notices and fair housing pamphlets (English/Spanish) are provided to libraries, social service agencies, churches and the Collier County CHS lobby. Fair Housing Training is conducted, and the website has been enhanced for additional Fair Housing Information. In addition, Fair Housing information is included in the CHS Compliance website for subrecipients and contractors.
- Collier County's Communications and Customer Relations Division is tasked with taking calls and/or complaints on housing and job discrimination and referring callers to the appropriate services. They also provide printed materials to the public.
- CHS has a Fair Housing plan.
- CHS requires all subrecipients/developers to have an Affirmative Fair Housing Policy and submit to CHS within 60 days of agreement execution.
- CHS conducts an annual Fair Housing training for all subrecipients

**Impediment #2 Limited Supply of Affordable Housing Restricts Housing Choice**

In 2021, the County continued to focus on implementing recommendations outlined in the Community Housing Plan including modifications to existing incentives including impact fee deferral, affordable housing density bonus program, making publicly owned land available for housing construction, identifying additional funding sources for affordable housing, and other recommendations.

- The Land Trust, a new 501 (c)3 which was created in 2019, has appointed a Board, become incorporated, and developed bylaws within which to operate. In April 2021, CCCLT received the prestigious designation of Certified Community Land Trust from the Florida Community Land Trust Institute.

### **Impediment #3 High Concentrations of Low-Income Housing**

Staff is also working to identify publicly owned land that can be used to develop affordable housing for working families, young professionals and others; improve family's ability to obtain mortgages through financial counseling & training; and other recommendations.

In 2021, the Board of County Commissioners continued to work with the affordable housing developer slated to build affordable rental units on county owned property.

### **Impediment #4 Lack of Accessible/Special Needs Housing**

Special Needs housing has been addressed in the 2017 Community Housing Plan and many of the plan's recommendations discuss a minimum set-aside for senior and special needs housing; encouraging the creation of a community land trust (CLT) and other recommendations to address this special needs population.

The County has also helped fund TBRA assistance to low- and moderate-income households through its partners the CCHA, NAMI and ROOF. NAMI and ROOF specialize in special needs supportive housing and will continue to be funded in future program years.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

CHS staff monitors compliance with subrecipients and developers on an annual program year. A risk assessment is completed, and the appropriate monitoring is then assigned. All projects receive at least one on-site monitoring and ongoing compliance review by the grant staff through reimbursement requests, phone calls, written correspondence and site visits by the project Grant Coordinator and management for expenditure eligibility, beneficiaries served, and general project progress is conducted. CHS maintains a monitoring team comprised of a supervisor, two staff coordinators and a senior accountant. Policies and procedures are updated as CPD notices are published, as applicable, to maintain currency with HUD policies.

Once the monitoring visit is completed, staff returns to the office to prepare a compliance letter and sends it to the subrecipient. The letter will outline whether the subrecipient is compliant or not. If the subrecipient is determined to be in non-compliance a list of corrective actions are identified with a specific time for corrections and sent to the subrecipient. The Grant Monitoring Team may schedule a follow up visit 30 or 60 days following the letter, depending on the severity of the findings, to re-monitor for improvements/corrections.

Monitoring visits, along with all other pertinent information is tracked by the Grant Coordinators in their project workbooks and continually updated with the latest project status and reviewed by the CHS Manager and Director.

Finally, monitoring also includes a review of HUD reports/announcements, monthly program activities, any red flags, etc. and action is taken as necessary and technical assistance is also provided.

### ***MBE/WBE***

Collier County will continue to work with local businesses to establish contracts in regard to CPD programs, woman-owned and minority-owned businesses. Further, the County will comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C 1701u) and implementing regulations at 24 CFR Part 75.

### ***Comprehensive Planning Requirements***

The comprehensive planning requirements include the community planning and development process of the 5-Year ConPlan, subsequent AAPs, and CAPERs as per 24 CFR 91 Subpart A, C & F. Citizen participation is a vital part of the consolidated plan process, and the County will make sure to follow its HUD approved Citizen Participation Plan (CPP) which helps guide CHS staff to gather community input which is an essential component in identifying the priority housing and community development needs in the

County.

The ConPlan is developed every 5 years, with identified priority needs and goals to address these needs. Each year of the 5-Year plan, the County develops an AAP which identifies the projects and activities that will address and further the goals of the plan. This plan is required to be submitted to and approved by HUD each year to receive CDBG, HOME and ESG funding annually. At the end of each AAP program year, the County will report on the accomplishments and performance of the program through the CAPER (performance report). Citizen participation is required in the development of each of these stages as per 24 CFR 91.105.

### **Citizen Participation Efforts for PY 2021**

Collier County adheres closely to its HUD approved Citizen Participation Plan which guides the County in providing residents adequate opportunity to participate in the development of plans and review of performance reports in community planning and development programs as per 24 CFR 91.105. While preparing the 2021-2025 Consolidated Plan, Collier County's CHS Division hosted a series of public meetings from February 2021 through April 2021 to discuss issues and opportunities related to housing and community development needs as well as fair housing issues. The County also provided information on its application process so eligible applicants might request funding under the CDBG, HOME, and ESG programs. This meeting and the grant application cycle was advertised in the Naples Daily News, the Immokalee Bulletin, on the County website, and through direct email to a master list of local organizations. This application process is documented in the 2021-2025 Consolidated Plan final submission. The Consolidated Plan could be viewed on the County website at [www.colliercountyfl.gov](http://www.colliercountyfl.gov) and was also available for review in all County public libraries and at the Community and Human Services Division office. Public comments on the draft Consolidated Plan were accepted throughout a 30-day comment period from April 30, 2021, through May 31, 2021. A public hearing to receive comments on the Consolidated Plan and Annual Action Plan was held May 3, 2021. No public comments were received.

### **Citizen Participation Plan 91.105(d); 91.115(d)**

#### **Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

To meet the requirements for citizen participation for the PY 2021 CAPER, the County gave notice in the local paper, *Naples Daily News*, and on its website that the draft CAPER report would be available for a 15-day public comment and review period and a public hearing at a regular Board of County Commissioners meeting to discuss the CAPER. Below are directions on how citizens could participate in the review of the draft CAPER.

**Public Comment Period:** Collier County's PY 2021 CAPER was made available for viewing and public comment from **November 25, 2022 to December 9, 2022**. Copies of the draft CAPER were available at all of the Collier County libraries as well as the Collier County Community and Human Services Division, 3339



E. Tamiami Trail, Suite 211, Naples FL 34112 and on our website, [www.colliercountyfl.gov](http://www.colliercountyfl.gov), during the public comment period. The public was invited to review the draft CAPER and submit written comments to the Collier County Community and Human Services Division at the address above no later than December 9, 2022. The CAPER, including a summary of public comments received, will be sent to the U.S. Department of Housing and Urban Development prior to December 28, 2022. If any hearing/visually impaired, disabled, or non-English speaking persons required assistance to review the CAPER, they could contact the Collier County Community and Human Services Division at (239) 252 4663.

*No comments were received during the public comment period.*

**Public Hearing:** The CAPER was presented during a public hearing on **December 5, 2022**. The public hearing was held on the third floor of the Harmon Turner Building at 3301 East Tamiami Trail, Naples, FL. For more details on the public hearing, please contact the Collier County Community and Human Services Division at (239) 252 4663. Comments from citizens were welcome.

*No comments were received at the public hearing.*

**Accessibility:** If citizens required special aid or services as addressed in the Americans with Disabilities Act or require someone to translate or sign, they could contact the Collier County Community and Human Services Department at (239) 252-4663, no later than two (2) business days prior to the Board meeting date.

Collier County Is an Equal Opportunity Employer. Collier County complies with the Fair Housing Act (42 U.S.C 3600, et seq) and Collier County Fair Housing Ordinance No. 92-9.

The public notice and other proof of citizen participation are attached as a PDF in the CR-00.

## **CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

This PY 2021 CAPER is the first year of the County's 2021-2025 Consolidated Plan and there were no changes to the priorities identified in the plan or the program objectives. Community revitalization and public service activities remain as high priority needs and the use of CDBG funds are unchanged and remain essential to address these needs.

Collier County continues efforts to meet Consolidated Plan objectives utilizing available funding and if at any point in the future determines there is a need to revise objectives identified, the County will provide proper documentation to support the need for a change and will follow proper amendment procedures to the plan and any citizen participation requirements as a result of these changes.

### ***CDBG-CV***

In response to COVID-19, HUD provided Collier County with CDBG-CV funds in the amount of \$4,232,728 to fund activities that prevent, prepare for and respond to the pandemic. To facilitate this, the County amended its 2019 AAP to include projects/goals to help assist LMI residents during the pandemic. For CV public services, CDBG-CV funds went to support case management healthcare services to help 189 LMI vulnerable patients with chronic conditions to minimize the severity of COVID-19 or help to prevent contracting the virus. The County also funded the Immokalee Fire Control District to help with COVID protection actions, and this activity had a low/mod benefit for an estimated 36,775 persons. In addition, CDBG-CV funds were programmed for the Intake Center for Abused, Neglected, Abandoned and Homeless Youth in Naples. For CV small business stabilization, the County used CDBG-CV funds to support small businesses impacted by COVID-19 with creating and retaining 41 jobs. Most of the activities mentioned above were recently started in the 2021 program year. A summary of accomplishments is located in the CR-05 and expenditures is located in the CR-15.

At this time the goals for the CDBG-CV program have not changed, however the County will continue to monitor needs of LMI households as the pandemic continues to negatively affect the community.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

## **CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

*Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

There were 40 Housing Quality Standards (HQS) inspections made at 4 sites in PY 2021. All units passed inspection and general repairs included regular maintenance of appliances and interior structures and minor repairs as needed. Housing Inspectors worked with management to bring units into compliance when necessary. The following sites are recipients of HOME funding, and each of these sites received the site inspections:

### BCHC Rural Neighborhoods Florida Non-Profit (3 units):

2743 Eden Ave.  
1295 Adam Ct  
1150 Bush Street

### BCHC Single Family Rental Construction-Hatchers Preserve (11 units):

3153 Esperanza Ct  
3156 Esperanza Ct  
3160 Esperanza Ct  
3164 Esperanza Ct  
3168 Esperanza Ct  
3173 Esperanza Ct  
3174 Esperanza Ct  
3177 Esperanza Ct  
3178 Esperanza Ct  
3185 Esperanza Ct  
3186 Esperanza Ct

### CASL Multi-Family Rehab (8 units):

2500 55th Terrace SW A  
2500 55th Terrace SW B  
2531 55th Terrace SW A  
2531 55th Terrace SW B  
2124 Sunshine A  
2124 Sunshine B  
2418 Santa Barbara Unit A

2418 Santa Barbara Unit B

Big Cypress Housing Corporation Eden Gardens (18 units):

1373 Ironwood Ln 104  
1373 Ironwood Ln 201  
1377 Ironwood Ln 101  
1377 Ironwood Ln 201  
1381 Ironwood Ln, 202  
1365 Ironwood Drive, Apt 102  
1365 Ironwood Drive, Apt 202  
1369 Ironwood Drive, Apt 101  
1369 Ironwood Drive, Apt 104  
1373 Ironwood Drive, Apt 101  
1377 Ironwood Drive, Apt 104  
1380 Boxwood Dr  
1388 Boxwood Dr  
1386 Boxwood Circle, Apt 102  
1386 Boxwood Circle, Apt 202  
1390 Boxwood Circle, Apt 101  
1390 Boxwood Circle, Apt 104  
2744 Wilton Ct

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units.  
92.351(b)**

Collier County Community and Human Services (CHS) monitors sub-recipients for compliance with affirmative marketing requirements by reviewing selection policies, marketing materials, and property management interviews. Any project using HOME funds must provide a marketing plan to the CHS office for review prior to approval of a funding agreement. For new construction, the development entity is responsible for affirmatively marketing the housing units they develop whether it is special needs or senior housing, or housing targeting low-income working families.

HOME applicants or awardees who receive funding submit an affirmative marketing plan that includes the following:

1. Methods for informing the public, owners, and potential tenants about Federal fair housing laws and their fair housing policy (e.g., use of commercial media, use of community contacts, use of the Equal Housing Opportunity logo or slogan in press releases, solicitations to owners, and written communication);
2. Requirements and practices each owner must adhere to in order to carry out affirmative marketing procedures and requirements.

3. Procedures to be used by owners to inform and solicit applications from persons in the housing market area who are not likely to apply for the housing without special outreach (e.g., use of community organizations, places of worship, employment centers, fair housing groups, or housing counseling agencies);
4. Addresses the items in CFR 92.351.

### **HOME Projects in PY 2021:**

The County had four projects in the PY 2021 reporting period as reported by the PR22 and the following list summarizes the project names and owner and tenant characteristics in the projects.

570 – TBRA (CCHA), 84 LMI rental assistance

589 – Rental Housing Rehab (Oak Marsh), 11 LMI rental units (completed and reported for PY 2021)

617 – Habitat Whippoorwill, 52 LMI new multifamily housing

### ***MBE/WBE Report***

There were a total of 27 contracts awarded to local businesses for construction, repair and services for a total amount of \$6,448,935,81. For the purposes of the MBE/WBE report, 23 were white-owned business owners, and 3 were Hispanic and 1 was black. The 3 Hispanic-owned business were awarded \$609,966.00 and the 1 black-owned business was awarded \$29,600.00. There was 1 women-owned business with a contract in the amount of \$7,570.00 awarded.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

At the start of the PY 2021 on October 1, 2021, the PR09 reported a total of \$85,242.87 in HOME program income (PI). In the program year from October 1, 2021 to September 30, 2022, the County received an amount of \$31,571.43 in program income. HOME program income expenditures were in the amount of \$88,400.01. These expenditures were for Activity #589 which is associated with the Rental Rehabilitation at Oak Marsh, #617 Habitat for Humanity Whippoorwill, #630 NAMI TBRA, and #656 HOME Admin. The remaining program income balance at the end of the program year is \$28,414.29.

The PR07 reported that there was \$151,749.12 expended for TBRA in the program year.

A copy of the PR09 for HOME program income and PR07 for TBRA has been uploaded to the CR-00.

### **Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Collier County has several programs aimed at both fostering and maintaining affordable housing. The County has identified the need to address the lack of affordable housing as one of its top priorities. In October 2017, the Board of County Commissioners accepted the Community Housing Plan and has been actively working towards implementation of the 35 recommendations outlined. In recent program years, the elected officials have approved several the recommendations including the improvements to the Affordable Housing Density Bonus program; Impact Fee Deferral Program; advocating for full SHIP funding and the creation of a Housing Trust Fund. The Board also allocated \$500,000 to budget for affordable housing activities.

Promoting and supporting affordable housing opportunities throughout the service area, providing down payment assistance programs, new construction, minor home repair, and rehabilitation of affordable housing units for the purpose of increasing the availability of housing to the very low and low-income persons.

- Expanding the Collier County Housing Website to be a clearinghouse for those interested in affordable housing initiatives in the service area.
- Promoting the development of collaborations and partnerships of both non-profit and for-profit builders, developers, and other interested parties for the purpose of increasing the capacity for the development of affordable housing in the service area.
- Development of affordable housing in the service area, including Housing Tax Credits (HTC) projects with County owned land. These rental developments were the Allegro development with 160 units and the Harmony Apartments (aka Bembridge property with 82 units).
- Collier County expended SHIP funds of roughly \$1,016,937.24 to further affordable housing throughout the County in support of direct homeownership assistance for LMI households.
- Developed a coordinated approach to working with Collier County Housing Finance Corporation by providing partnership with its members and including their members on the Community Land Trust Board.

### **HOME ARP**

In September 2021, HUD announced the allocation of \$2,729,078 to Collier County, for a new grant called the Home Investment Partnerships Grant American Relief Plan (HOME-ARP). The purpose of HOME-ARP funds is to provide homelessness assistance and supportive services through several eligible activities. Eligible activities include acquisition and development of non-congregate shelter, tenant based rental assistance, supportive services, rental housing development, administration and planning, and nonprofit operating and capacity building assistance.

HOME-ARP funds must also assist people in HOME-ARP "qualifying populations", which include:

- Sheltered and unsheltered homeless populations

- Those currently housed populations at risk of homelessness
- Those fleeing or attempting to flee domestic violence or human trafficking
- Other families requiring services or housing assistance or to prevent homelessness
- Those at greatest risk of housing instability or in unstable housing situations

To receive HOME-ARP funding, the County developed a HOME-ARP Allocation Plan which described the distribution of HOME-ARP funds and also identified the use of eligible uses to address these needs, which the County has identified in Collier County as supportive services, the acquisition and development of non-congregate shelters, nonprofit capacity building and admin and planning of the program. In PY 2021, the HOME-ARP program was just started and the only expenditures in the program year was \$413.51 from admin and planning.

## CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

| Total Labor Hours                     | CDBG | HOME | ESG |
|---------------------------------------|------|------|-----|
| Total Number of Activities            | 1    | 0    | 0   |
| Total Labor Hours                     | 0    | 0    | 0   |
| Total Section 3 Worker Hours          | 0    | 0    | 0   |
| Total Targeted Section 3 Worker Hours | 0    | 0    | 0   |

**Table 14 – Total Labor Hours**

| Qualitative Efforts - Number of Activities by Program   | CDBG | HOME | ESG |
|---|------|------|-----|
| Outreach efforts to generate job applicants who are Public Housing Targeted Workers   |      |      |     |
| Outreach efforts to generate job applicants who are Other Funding Targeted Workers.   |      |      |     |
| Direct, on-the job training (including apprenticeships).  |      |      |     |
| Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.   |      |      |     |
| Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).  |      |      |     |
| Outreach efforts to identify and secure bids from Section 3 business concerns.  | 1    |      |     |
| Technical assistance to help Section 3 business concerns understand and bid on contracts.   |      |      |     |
| Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.   |      |      |     |
| Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services. |      |      |     |
| Held one or more job fairs.   |      |      |     |
| Provided or connected residents with supportive services that can provide direct services or referrals.   |      |      |     |
| Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.          |      |      |     |
| Assisted residents with finding child care.   |      |      |     |
| Assisted residents to apply for, or attend community college or a four year educational institution.  |      |      |     |
| Assisted residents to apply for, or attend vocational/technical training.   |      |      |     |
| Assisted residents to obtain financial literacy training and/or coaching.   |      |      |     |



|  |  |  |  |
|--|--|--|--|
| Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.  |  |  |  |
| Provided or connected residents with training on computer use or online technologies.  |  |  |  |
| Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.                                    |  |  |  |
| Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act. |  |  |  |
| Other.   |  |  |  |

**Table 15 – Qualitative Efforts - Number of Activities by Program**

## Narrative

On October 29, 2020 HUD made effective the Final Rule, which set new benchmarks for Section 3 under 24 CFR 75. Section 3 helps to establish more economically sustainable communities by ensuring that employment and other economic opportunities generated by Federal assistance for development programs are directed towards very low- and low-income persons to the greatest extent possible, and in particular to those who are recipients of the Federal assistance. The Final Rule changes tracking from the number of qualified new hires in Section 3 projects, to tracking the total labor hours worked.

As applicable to Collier County, the benchmark for Section 3 workers was set at 25 percent or more of the total number of labor hours worked by all workers on a Section 3 project. The benchmark for Targeted Section 3 workers was set at 5 percent or more of the total number of labor hours worked by all workers on a Section 3 project.

Section 3 Projects cover housing rehab/construction and public improvement construction activities assisted under HUD grant programs that provide housing and community development financial assistance that exceeds a threshold of \$200,000. A \$100,000 project threshold applies to grants under HUD's Lead Hazard Control and Healthy Homes programs. In PY 2021 there was one activity that was subject to the Section 3 reporting requirement.

The Activity #655 Collier County Housing Authority (CCHA) Rental Acquisition, is a development project that was funded with CDBG for the amount of \$397,763.50 which meets the threshold for Section 3 reporting. No hours were reported by Section 3 workers or Targeted Section 3 workers; however, efforts were made to identify and secure bids from Section 3 business concerns.

A Section 3 report generated through the IDIS reporting system confirms the report of one activity that met the threshold has been uploaded to the CR-00.

In addition to tracking Section 3 compliance among activities in IDIS, the County also conducts annual Section 3 reporting training and requires all subrecipients to have a Section 3 policy.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

### ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

|   |                |
|---|----------------|
| Recipient Name  | COLLIER COUNTY |
| Organizational DUNS Number  | 076997790      |
| UEI   |                |
| EIN/TIN Number  | 596000558      |
| Identify the Field Office   | SOUTH FLORIDA  |
| Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance |                |

##### ESG Contact Name

|             |                                |
|-------------|--------------------------------|
| Prefix      | Mrs.                           |
| First Name  | KRISTI                         |
| Middle Name |                                |
| Last Name   | SONNTAG                        |
| Suffix      |                                |
| Title       | COMMUNITY & HUMAN SERVICES DIR |

##### ESG Contact Address

|                  |                                    |
|------------------|------------------------------------|
| Street Address 1 | 3339 TAMIAMI TRAIL EAST            |
| Street Address 2 | BLD H SUITE 211                    |
| City             | NAPLES                             |
| State            | FL                                 |
| ZIP Code         | -                                  |
| Phone Number     | 2392522486                         |
| Extension        |                                    |
| Fax Number       | 2392522331                         |
| Email Address    | KRISTI.SONNTAG@COLLIERCOUNTYFL.GOV |

##### ESG Secondary Contact

|               |  |
|---------------|--|
| Prefix        | Mr.  |
| First Name    | Donald                                     |
| Last Name     | Luciano                                    |
| Suffix        |  |
| Title         | Manager - Federal & State Grant Operations |
| Phone Number  | 2392526539                                 |
| Extension     |  |
| Email Address | donald.luciano@colliercountyfl.gov         |

## 2. Reporting Period—All Recipients Complete

Program Year Start Date 10/01/2021  
Program Year End Date 09/30/2022

### 3a. Subrecipient Form – Complete one form for each subrecipient

**Subrecipient or Contractor Name:** The Shelter for Abused Women & Children, Inc

**City:** Naples

**State:** FL

**Zip Code:** 34101, 0102

**DUNS Number:** 836680769

**UEI:**

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$100,000

**Subrecipient or Contractor Name:** Youth Haven, Inc.

**City:** Naples

**State:** FL

**Zip Code:** 34112

**DUNS Number:**

**UEI:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$71,000

**Subrecipient or Contractor Name:** Collier County Hunger & Homeless Coalition, Inc.

**City:** Naples

**State:** FL

**Zip Code:** 34112

**DUNS Number:**

**UEI:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$30,462